

2161

Patent Application

Attorney Docket No. DT 0002



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Patent Application of: Valentine et al.

Serial No. : 09/742,472 741,958

Filed: Dec 20, 2000

For: PRICE OPTIMIZATION SYSTEM

Art Unit: 2161

Examiner: Unknown

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Technology Center 2100

Box: Non-Fee Amendment  
 Hon. Assistant Commissioner for Patents  
 Washington, D.C. 20231

June 19, 2002

Dear Sirs:

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail to: Commissioner of Patents, Washington, D.C. 20231 on June 19, 2002.

Signed: 

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INFORMATION DISCLOSURE STATEMENT FILEDUNDER 37 C.F.R. §§ 1.56 AND 1.97(b)

GROUP 3600

Pursuant to 37 C.F.R. § 1.56, Applicants bring the following documents to the Examiner's attention in the referenced application.

1. U.S. Patent Number 6,308,162 to Ouimet et al. entitled "METHOD FOR CONTROLLED OPTIMIZATION OF ENTERPRISE PLANNING MODELS"
2. International Application Number PCT/US98/10521 to Ouimet et al. entitled "A METHOD FOR INCORPORATING PSYCHOLOGICAL EFFECTS INTO DEMAND MODELS"
3. Alan Lewis Montgomery, "The Impact of Micro-Marketing on Pricing

Strategies", 1994 The University of Chicago, Vol. 55/12-A of Dissertation Abstracts International, pg 3922 (Abstract Only)

4. John Busch, "Cost Modeling as a Technical Management Tool", Research-Technology Management, Nov/Dec 1994, Vol. 37, No. 6, pgs 50-56
5. "Pacificorp IRP: Renewables Costs Must Drop 65% to be Competitive with Gas".

Citation of the above documents shall not be construed as an admission that the documents are necessarily prior art with respect to the referenced application. Furthermore, citation of the above documents shall not be construed as a representation that a search has been made or an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

This paper is submitted within three months of the filing date of the referenced patent application in accordance with 37 C.F.R. § 1.97(b); therefore, no fee is believed to be required.

If the Examiner has any questions or comments with respect to the present application, the Examiner is respectfully invited to telephone the undersigned at (925) 570 8198.

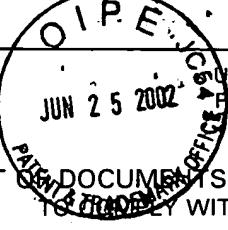
Respectfully submitted,



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|  <p>U.S. DEPARTMENT OF COMMERCE<br/>PATENT AND TRADEMARK OFFICE<br/>JUN 25 2002<br/>LIST OF DOCUMENTS CITED BY APPLICANT<br/>TO TRADEMARK WITH 37 C.F.R. 1.56</p>        |  |   |          | ATTY. DOCKET NO.<br>DT0002    | SERIAL NO.<br>09/742,472 |          |                            |
|--|--|---|----------|-------------------------------|--------------------------|----------|----------------------------|
|  |  |   |          | APPLICANT<br>Valentine et al. |                          |          |                            |
|  |  |   |          | FILING DATE<br>6/19/02        | GROUP<br>2161            |          |                            |
| <b>U.S. PATENT DOCUMENTS</b>   |  |   |          |                               |                          |          |                            |
| EXAMINER INITIAL*  |  | DOCUMENT NUMBER   | DATE     | NAME                          | CLASS                    | SUBCLASS | FILING DATE IF APPROPRIATE |
| AA   |  | 6,308,162   | 10/23/01 | Ouimet et al.                 |                          |          |                            |
|  |  |   |          |                               |                          |          | <i>RECEIVED</i>            |
|  |  |   |          |                               |                          |          | <i>JUL 01 2002</i>         |
|  |  |   |          |                               |                          |          | <i>GROUP 3600</i>          |
|  |  |   |          |                               |                          |          |                            |
|  |  |   |          |                               |                          |          |                            |
| <b>FOREIGN PATENT DOCUMENTS</b>  |  |   |          |                               |                          |          |                            |
|  |  | DOCUMENT NUMBER   | DATE     | NAME                          | CLASS                    | SUBCLASS | TRANSLATION                |
|  |  |   |          |                               |                          |          | YES                        |
| BA   |  | WO 98/53415   | 11/26/98 | Ouimet et al.                 |                          |          |                            |
|  |  |   |          |                               |                          |          |                            |
|  |  |   |          |                               |                          |          |                            |
|  |  |   |          |                               |                          |          |                            |
| <b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>  |  |   |          |                               |                          |          |                            |
| CA   |  | Montgomery: "The Impact of Micro-Marketing on Pricing Strategies", 1994 The University of Chicago Vol. 55/12-A of Dissertation of Abstracts International, pg. 3922 (Abstract Only) |          |                               |                          |          |                            |
| CB   |  | Busch: "Cost Modeling as a Technical Management Tool", Research-Technology Management, Nov/Dec 1994, vol. 37, no. 6, pgs 50-56  |          |                               |                          |          |                            |
| CC   |  | "Pacificorp IRP: Renewables Costs Must Drop 65% to be Competitive with Gas"   |          |                               |                          |          |                            |
| EXAMINER   |  |   |          | DATE CONSIDERED               |                          |          |                            |
| <small>*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</small> |  |   |          |                               |                          |          |                            |